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12-31-01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 1650-003

First Inventor Martyn RYDER

Title CONTAINMENT ASSEMBLY

Express Mail Label No. EL889189488US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 26]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____ /

Prior application information: Examiner _____

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Sullivan Law Group				
Address	5060 North 40th Street Suite 120				
City	Phoenix	State	AZ	Zip Code	85018
Country	U.S.A.	Telephone	602 956 6161	Fax	602 956 6262

Name (Print/Type)	David S. Taylor	Registration No. (Attorney/Agent)	39,045
Signature		Date	December 21, 2001

Burden Hour Statement: This form is estimated to take 62 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	Not Yet Assigned
Filing Date	December 21, 2001 (herewith)
First Named Inventor	Martyn RYDER
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	1650-003

TOTAL AMOUNT OF PAYMENT (\$ 780

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

501324

Deposit
Account
Name

Sullivan Law Group

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17
-
- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
-
- Order
- ☐
- Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 740)

2. EXTRA CLAIM FEES

Total Claims	18	-20 **	=	0	X	18	=	0
Independent Claims	1	-3 **	=	0	X	84	=	0
Multiple Dependent	0				X	280	=	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0

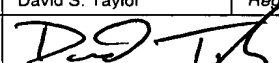
**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$ 40

SUBMITTED BY

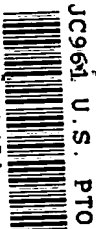
Complete (if applicable)

Name (Print/Type)	David S. Taylor	Registration No. Attorney/Agent)	39,045	Telephone	202 661 6114
Signature				Date	December 21, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

12/21/01



JC961 U.S. PTO

12-31-01

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Federal Government Procurement Law

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JC973 U.S. PTO

10/029537



12/21/01

December 21, 2001

Via Express Mail

BOX PATENT APPLICATION

Assistant Commissioner
for Patents

U.S. Patent and Trademark Office
Washington, D.C. 20231

Re: *Filing New Utility Patent Application Entitled*
"CONTAINMENT ASSEMBLY"
Our Ref.: 1650-003

Dear Sir:

We hereby submit the following documents in connection with the filing of this new utility patent application entitled, "CONTAINMENT ASSEMBLY," inventor Martyn RYDER, an individual, residing at Oakwood House, Bowling Alley, Brighouse, HD6 3ET, United Kingdom (nationality: GB):

1. Application for Patent Cover Sheet (1 sheet);
2. Specification and Claims (25 pages) including 1 independent claim and 18 claims total;
3. Abstract of the Disclosure (1 page);
4. Informal drawing figures (5 figures on 5 sheets);
5. Declaration (2 page);
6. Power of Attorney (1 page);
7. Formal drawings (5 figures on 5 sheets);

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Assistant Commissioner
for Patents
December 21, 2001
Page 2

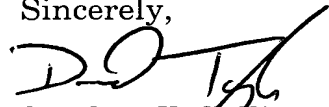
8. Assignment, with Assignment Recordation Cover Sheet (3 pages);
9. Fee transmittal (1 page, 2 copies);
10. Check for \$780, covering the \$740 filing fee and \$40 recordation fee;
and
11. Postcard for receipt acknowledgment.

This application claims priority of UK patent application no. 0101299.6 filed on 28 January 2001, the complete disclosure of which is incorporated into the attached application.

Please accord this application a serial number and the filing date of **December 21, 2001**.

Please give us a call if you have any questions or wish to discuss this matter.

Sincerely,

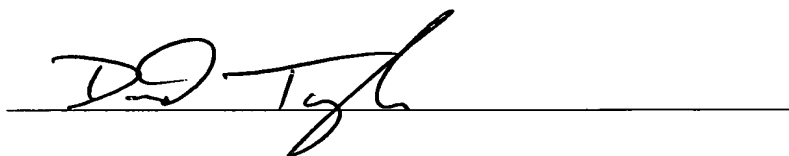

Stephen T. Sullivan
David S. Taylor

STS/DST/lam
Enclosures

Express Mail Label No. EL889189488US

Date of Deposit December 21, 2001

I hereby certify that the attached application and other attachments are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed, BOX PATENT APPLICATION, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.



10029537-123101
TOTAL 4362007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
RYDER) Group Art Unit: Unknown
Entitled: "CONTAINMENT ASSEMBLY") Examiner: Unknown
Serial No. Not Yet Assigned)
Filed: December 21, 2001 (herewith))

Assistant Commissioner
for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

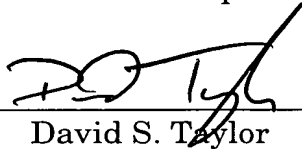
SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Attached hereto are the formal drawings for the above-captioned application.

Respectfully submitted,

Sullivan Law Group

By: 
David S. Taylor
Registration No. 39,045
Tel. No. (202) 661-6114
Fax. No. (202) 661-6117

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10029537-122101